Dkt. 64977/JPW/PT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :

Hiroe Takashima

Serial No.:

09/851,070

Examiner: Not Yet Known

Filed

May 8, 2001 Group Art Unit: 1761

For

SPONGE CAKE PREMIX AND METHOD OF MANUFACTURING

SPONGE CAKE BY USING SAID PREMIX

1185 Avenue of the Americas TO 12000 New York, New York 10036

February 21, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

## INFORMATION DISCLOSURE STATEMENT

In order to ensure compliance with applicant's duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), applicant hereby submits this Information Disclosure Statement.

Applicant directs the Examiner's attention to the following references which are listed on Form PTO-1449 attached hereto as Exhibit A and were cited in an official report dated November 19, 2001 in a corresponding British patent application. Copies of the references are attached hereto as Exhibits 1-3, respectively.

- European Patent Application Publication No. 0 367 031 A3 1. (Lazarus) published May 9, 1990 (Exhibit 1);
- Japanese Patent Publication No. 8-38028, including English-2. language abstract (Exhibit 2); and
- Japanese Patent Publication No. JP 10056946, including 3. English-language abstract (Exhibit 3).

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Applicant also submits herewith as **Exhibit 4** a copy of the November 19, 2001 official report in connection with counterpart British Patent Application No. GB 0111209.3.

Applicant also directs the Examiner's attention to an official notice dated December 14, 2001 in a corresponding Taiwanese patent application, which is also listed on the Form PTO-1449 attached as Exhibit A. Copies of the December 14, 2001 Taiwanese official action and English-language translation thereof, in connection with Taiwanese patent application no. 09011019505, are attached as Exhibit 5 hereto.

A first Office Action has not been received in connection with the subject application. Accordingly, pursuant to 37 C.F.R. §1.97(b)(3), applicant believes that the present Information Disclosure Statement is timely filed and no fee or certification is due or required.

However, in the event that a first Office Action has been mailed but has not yet been received by applicant or connected with the application file in the undersigned attorney's office, applicant hereby request, pursuant to 37 C.F.R. §1.97(c)(2), consideration of this Information Disclosure Statement, and authorization is hereby given to charge to Deposit Account No. 03-3125 ONE HUNDRED AND EIGHTY DOLLARS (\$180.00), which is the amount of the fee under 37 C.F.R. §1.17(p) for filing an Information Disclosure Statement pursuant to 37 C.F.R. §1.97(c)(2).

If a telephone conference would be of assistance in advancing the prosecution of the subject application, applicant's undersigned attorney invites the Examiner to telephone him at the number

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provided below.

No fee is believed to be due in connection with the filing of this Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

John P. White

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